



**5001-06P**

**DEPARTMENT OF DEFENSE**

**Office of the Secretary**

**[Transmittal Nos. 15-23]**

**36(b)(1) Arms Sales Notification**

**AGENCY:** Department of Defense, Defense Security Cooperation Agency.

**ACTION:** Notice.

**SUMMARY:** The Department of Defense is publishing the unclassified text of a section 36(b)(1) arms sales notification. This is published to fulfill the requirements of section 155 of Public Law 104-164 dated July 21, 1996.

**FOR FURTHER INFORMATION CONTACT:** Ms. B. English, DSCA/DBO/CFM, (703) 601-3740.

The following is a copy of a letter to the Speaker of the House of Representatives, Transmittals 15-23 with attached transmittal, policy justification and 620C(d) document.

Dated: June 1, 2015.

Aaron Siegel,  
Alternate OSD Federal Register Liaison Officer,  
Department of Defense.



## DEFENSE SECURITY COOPERATION AGENCY

201 12TH STREET SOUTH, STE 203  
ARLINGTON, VA 22202-6408

The Honorable John A. Boehner  
Speaker of the House  
U.S. House of Representatives  
Washington, DC 20515

MAY 20 2015

Dear Mr. Speaker:

Pursuant to the reporting requirements of Section 36(b)(1) of the Arms Export Control Act, as amended, we are forwarding herewith Transmittal No. 15-23, concerning the Department of the Navy's proposed Letter(s) of Offer and Acceptance to Turkey for major defense equipment, and related equipment and services estimated to cost \$310 million. After this letter is delivered to your office, we plan to issue a press statement to notify the public of this proposed sale.

You will also find attached a certification as required by Section 620C(d) of the Foreign Assistance Act of 1961, as amended, that this action is consistent with the principles set forth in subsection 620C(b) of that Act as codified in section 2373 of title 22, United States Code.

Sincerely,

J. W. Rixey  
Vice Admiral, USN  
Director

## Enclosures:

1. Transmittal
2. Policy Justification
3. Sensitivity of Technology
4. Section 620C(d) Certification



**CERTIFICATION PURSUANT TO § 620C(d)  
OF THE FOREIGN ASSISTANCE ACT OF 1961, AS AMENDED**

Pursuant to Section 620C(d) of the Foreign Assistance Act of 1961, as amended (the Act), Executive Order 12163 and State Department Delegation of Authority No. 293-2, I hereby certify that the furnishing to Turkey of four (4) MK 15 Phalanx Close-In Weapons System (CIWS) Block 1B Baseline 2 systems and the overhaul, upgrade, and conversion of seventeen (17) MK 15 Phalanx CIWS Block 0 systems to the Block 1B Baseline 2 configuration is consistent with the principles contained in Section 620C(b) of the Act.

This certification will be made part of the notification to Congress under Section 36(b) of the Arms Export Control Act, as amended, regarding the proposed sale of the above-named articles and services and is based on the justification accompanying such notification, of which such justification constitutes a full explanation.



---

Rose Gottemoeller  
Under Secretary of State  
for Arms Control and International  
Security

Transmittal No. 15-23

Notice of Proposed Issuance of Letter of Offer  
Pursuant to Section 36(b)(1)  
of the Arms Export Control Act, as amended

- (i) Prospective purchaser: Turkey
- (ii) Total Estimated Value

Major Defense Equipment*	\$238 million
Other	<u>\$ 72 million</u>
TOTAL	\$310 million
- (iii) Description and Quantity or Quantities of Articles or Services under Consideration for Purchase: Four (4) MK 15 Phalanx Close-In Weapons System (CIWS) Block 1B Baseline 2 systems and the overhaul, upgrade, and conversion of seventeen (17) MK 15 Phalanx CIWS Block 0 systems to the Block 1B Baseline 2 configuration. Also included are twenty one (21) Remote Control Stations, twenty one (21) Local Control Stations, spare and repair parts, support and test equipment, personnel training and training equipment, publications and technical documentation, U.S. Government and contractor engineering, technical, and logistics support services, and other related elements of program and logistics support.
- (iv) Military Department: Navy (LLI)
- (v) Prior Related Cases: None
- (vi) Sales Commission, Fee, etc., Paid, Offered, or Agreed to be Paid: None
- (vii) Sensitivity of Technology Contained in the Defense Article or Defense Services Proposed to be Sold: None.
- (viii) Date Report Delivered to Congress: 20 May 2015

\* as defined in Section 47(6) of the Arms Export Control Act.

## POLICY JUSTIFICATION

### Turkey – MK 15 Phalanx CIWS Upgrades

The Republic of Turkey has requested a possible sale for four (4) MK 15 Phalanx Close-In Weapons System (CIWS) Block 1B Baseline 2 systems and the overhaul, upgrade, and conversion of seventeen (17) MK 15 Phalanx CIWS Block 0 systems to the Block 1B Baseline 2 configuration. Also included are twenty one (21) Remote Control Stations, twenty one (21) Local Control Stations, spare and repair parts, support and test equipment, personnel training and training equipment, publications and technical documentation, U.S. Government and contractor engineering, technical, and logistics support services, and other related elements of program and logistics support. The estimated cost is \$310 million.

Turkey is a partner of the United States in ensuring peace and stability in the region. It is vital to the U.S. national interest to assist our NATO ally in developing and maintaining a strong and ready self-defense capability. This proposed sale is consistent with those objectives.

The proposed sale will provide the Turkish Navy with enhanced self-defense capabilities for surface combatants supporting both national and multinational naval operations. The sale will extend the life of existing weapons systems and add four new weapons to Turkey's two future Landing Ships Tank (LST) vessels. Turkey has significant experience in maintaining and supporting CIWS, particularly MK 15 Phalanx CIWS Block 0, and has capable infrastructure that will require minimal updates.

The proposed sale of this equipment and support will not alter the basic military balance in the region.

The principal contractor will be Raytheon Missile Systems in Tucson, Arizona. The purchaser has requested offsets. At this time, agreements are undetermined and will be defined in negotiations between the purchaser and contractor.

Implementation of this proposed sale will not require the assignment of any additional U.S. Government or contractor representatives to Turkey. However, Contractor Engineering and Technical Services (CETS) may be required on an interim basis for installations and integration.

There will be no adverse impact on U.S. defense readiness as a result of this proposed sale.